PTO-1390 (Rev. 07-2005) Approved for use through 03/31/2007. OMB 0651-0021 U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTORNEY'S DOCKET NUMBER

01213/0204996-US0 U.S. APPLICATION (IFRIOW, 40 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/IT2003/000813 12 December 2003 TITLE OF INVENTION METHOD FOR REDUCING THE SURFACE ROUGHNESS OF A THIN LAYER OF CONDUCTIVE OXIDES APPLICANT(S) FOR DO/EO/US Massimo Cocchi et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). X A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) X is attached hereto (required only if not communicated by the International Bureau). a. has been communicated by the International Bureau. b. X is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. are attached hereto (required only if not communicated by the International Bureau). a. have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. x An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

AP20Rec'd PCT/PTO 1 2 JUN 2005,

PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE or respond to a collection of information unless it displays a valid OMB control number.

	1 NS. (Figh On 2003)		INTERNATIONAL APPLI		ATTORNEY'S DOCKET NUMBER 01213/0204996-US0	
20. Other it	tems or informa	tion:	<del></del>	· · · · · · · · · · · · · · · · · · ·	L	-
	The following fees have been submitted  1. x Basic national fee (37 CFR 1.492(a))				CALCULATION	
21. x Basic	national fee (3	7 CFK 1.492(a	·))	\$300	\$ 300.0	10
	ination fee (37 (	` ''		instina annua		
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.0	00
	ch fee (37 CFR		t continue and accompanies	· ·		
IPEA/US in	ndicates all claims	satisfy provisions	I preliminary examination of PCT Article 33(1)-(4)	\$0		
Internationa	al Searching Author	ority	e international application	\$100	\$ 400.0	00
previously	communicated to 1	oort prepared by an ISA other than the US and provided to the Office or unicated to the US by the IB				
	S TOTAL OF 21, 22			əəvu	\$ 900.0	00
Additional f	fee for specification	n and drawings file	ed in paper over 100 she	, —	,	
electron	nic medium) (37 CF	FR 1.492(j)).		uter program listing in an		
			ts of paper or fraction the	ereof.		
Total Sheets	Extra Sheets		additional 50 or fraction up to a whole number)	RATE		
19 - 100 =	/50 =			x \$250.00	\$	
after the date of co	commencement of	the national stage	e, examination fee, or the (37 CFR 1.492(h)).		\$	
CLAIMS Total claim		MBER FILED 9 - 20 =	NUMBER EXTRA	RATE	0.0	20
Independent c	<u> </u>	2 - 3 =		x	0.0	
	ENDENT CLAIM(S			+		
TOTAL OF ABOVE CALCULATIONS					\$ 900.0	00
Applicant	claims small entity	/ status. See 37 (	CFR 1.27. Fees above a	re reduced by 1/2.		
				SUBTOTAL =	\$ 900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$	
TOTAL NATIONAL FEE					\$	900.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$	
		····			\$	
			ТО	TAL FEES ENCLOSED =	\$	900.00
					Amount to be refunded:	\$
					Amount to be charged	\$
						•

## 10/582730

## IAP20 Rec'd PCT/PTO 12 JUN 2006

PTO-1390 (Rev. 07-2005) Approved for use through 03/31/2007. OMB 0651-0021 U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	son of intermation unless it displays a valid civib control flatified.						
a. A check in the amount of \$ to cover the above	e fees is enclosed.						
b. Please charge my Deposit Account No. 04-0100 in the amou							
A duplicate copy of this sheet is enclosed.							
C. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed.							
d. X Fees are to be charged to a credit card. WARNING: Information on the should not be included on this form. Provide credit card information							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status.							
	1 Hely N						
SEND ALL CORRESPONDENCE TO:	SIGNATURE						
S. Peter Ludwig							
DARBY & DARBY P.C.							
P.O. Box 5257 New York, New York 10150-5257	S. Peter Ludwig						
(212) 527-7770	NAME						
CUSTOMER NUMBER: 07278	25,351						
	REGISTRATION NUMBER						
	•						
	•						
	•						
	•						

## iAP20 Rec'd PCT/PTO 12 JUN 2006

Application No. (if	(if known): Attorney Docket No.: 01213/0204996	Attorney Docket No.: 01213/0204996-US0		
Ce	ertificate of Express Mailing Under 37 CFR 1.10			
-	by certify that this correspondence is being deposited with the United States Postal Service as Ex Airbill No. EV 762808499 US in an envelope addressed to:	press		
	Commissioner for Patents			
	P.O. Box 1450			
	Alexandria, VA 22313-1450			
on	June 12, 2006			
<u></u>	Date			
	Signature			
	// Nancy Joyce Simmons			
	Typed or printed name of person signing Certificate			
	212-527-7700			
Regi	gistration Number, if applicable Telephone Number			
Note:	Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.			
	Transmittel Latter to the Heited Otatos Designated Flority of Office (2 nones)			
	Transmittal Letter to the United States Designated-Elected Office (3 pages) First Preliminary Amendment (6 pages)			
	Application Data Sheet (5 pages)			
	Information Disclosure Statement (4 pages)			
	PTO SB/08 (1 page) and copies of 7 documents cited			
	Check No. <u>120 30</u> in the amount of \$900.00  Return Receipt Postcard			
	retain recorpt i obtodia			
		•		